

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark
Office
(Box PCT)
Crystal Plaza 2
Washington, DC 20231
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 13 July 1999 (13.07.99)	
International application No. PCT/EP98/06846	Applicant's or agent's file reference E-5291/97 Bis
International filing date (day/month/year) 28 October 1998 (28.10.98)	Priority date (day/month/year) 29 October 1997 (29.10.97)
Applicant MORUZZI, Guido	

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

25 May 1999 (25.05.99)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No.: (41-22) 740.14.35</p>	<p>Authorized officer</p> <p>F. Baechler</p> <p>Telephone No.: (41-22) 338.83.38</p>
--	--

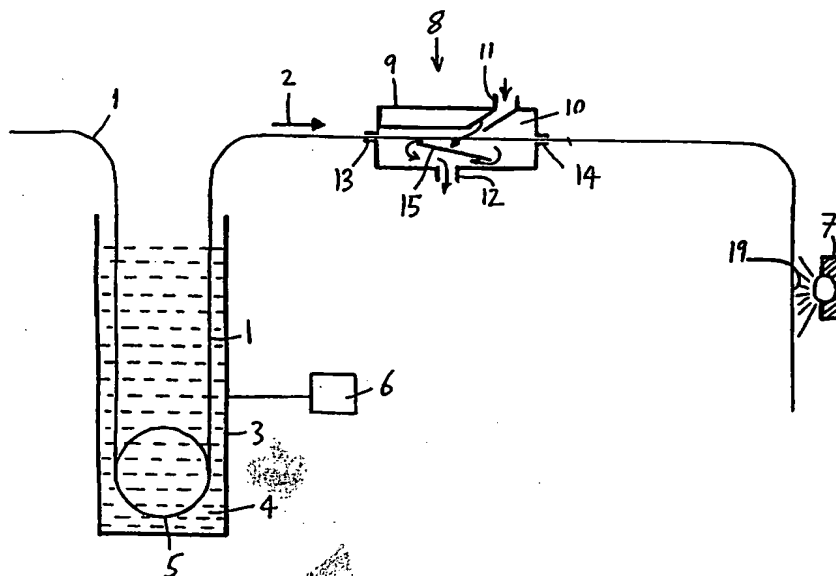
THIS PAGE BLANK (USPTO)



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification⁶ : A61L 2/10, 2/20, 2/18, B65B 55/04	A1	(11) International Publication Number: WO 99/21593 (43) International Publication Date: 6 May 1999 (06.05.99)
(21) International Application Number: PCT/EP98/06846 (22) International Filing Date: 28 October 1998 (28.10.98) (30) Priority Data: 97830555.5 29 October 1997 (29.10.97) EP (71) Applicant (for all designated States except US): TETRA LAVAL HOLDINGS & FINANCE S.A. [CH/CH]; 70, avenue Général-Guisan, CH-1009 Pully (CH). (72) Inventor; and (75) Inventor/Applicant (for US only): MORUZZI, Guido [IT/IT]; Via Venezia, 10, I-40068 S. Lazzaro di Savena (IT). (74) Agents: JORIO, Paolo et al.; Studio Torta S.r.l., Via Viotti, 9, I-10121 Torino (IT).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>

(54) Title: METHOD AND APPARATUS FOR STERILIZING A PACKAGING SHEET MATERIAL

**(57) Abstract**

The method of sterilizing a packaging sheet material involves the steps of applying hydrogen peroxide to a packaging sheet material, and irradiating the packaging sheet material with light, including at least one UV wavelength between about 200nm and 320nm. The method also has an intermediate step of removing hydrogen peroxide from the packaging sheet material, after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby to target synergy between the UV light and hydrophilically absorbed hydrogen peroxide in any microorganisms present on the packaging sheet material. An apparatus for carrying out the method is also disclosed.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

METHOD AND APPARATUS FOR STERILIZING A PACKAGING SHEET MATERIAL.

TECHNICAL FIELD.

5 The present invention relates to an improved method and apparatus for sterilizing a packaging sheet material of the kind used for packaging pourable food products such as milk, tomato puree, yoghurt, fruit juices, wine, tea, etc. Such laminated packaging sheet material has a multi-layered structure including a fibre-based layer made of a material such as paper, which is coated on either
10 side with a heat-sealable plastics material such as polyethylene. When the packaging material is to be used for the aseptic packaging of pourable products such as milk treated at ultra-high temperature (UHT milk), the face of the packaging material destined to be placed in contact with the food product is also provided with a layer of barrier material, such as e.g., aluminium, which is
15 in turn covered with a layer of plastics material such as polyethylene.

When manufacturing packages from the above-mentioned kind of packaging sheet material, it is imperative that no microorganisms are allowed to come into contact with the food product to be packaged. Therefore, the packaging material must be sterilized immediately prior to use for the
20 manufacture of packages, and it must be retained in sterile conditions, until the package is completely sealed, thereby ensuring that the food product contained therein is free from any microorganisms which would otherwise spoil the food and/or transmit diseases to the consumer.

25 BACKGROUND ART.

Laminated packaging material may be produced in the form of web which can be continuously fed into a package forming, filling and sealing machine. Such machines include e.g., the TBA/19[®] and TBA/21[®] filling machine, manufactured by Tetra Brik Packaging Systems, Via Delfini 1, Modena, Italy.
30 The web is sterilized with a sterilizing agent such as e.g., hydrogen peroxide, which is thereafter removed by evaporation. The sterile packaging material is then maintained in an aseptic chamber, then longitudinally sealed to form a continuous tube, which is filled with liquid food product to be packaged.

The tube is then clamped and transversely sealed at regular intervals to produce pillow-shaped packages, which are mechanically folded to produce finished packages. Such types of packages include e.g., parallelepiped packages known by the registered trademarks Tetra Brik Aseptic® and Tetra Brik®, and substantially parallelepiped packages with bevelled corners commonly known by the registered trademarks Tetra Prisma® and Tetra Prisma Aseptic®.

Alternatively, the laminated packaging sheet material may be cut into blanks and then formed into packages on mandrels. Such packages are sterilized by spraying with hydrogen peroxide. The spray produces a thin layer of hydrogen peroxide on the hydrophobic, thermoplastic outer layer of the packaging sheet material. Successively, a source of UV light in the 200-325nm range is irradiated onto the hydrogen peroxide-covered outer layer of packaging material. The synergy occurring between the hydrogen peroxide and the UV light achieves has a killing effect on any microorganisms on the packaging material. Thereafter, the hydrogen peroxide is removed and the packages are filled with liquid food product and sealed to produce gable-top container commonly known by the registered trademark Tetra Rex®.

20 Sterilization with hydrogen peroxide:

In the sterilization systems employing hydrogen peroxide, without any irradiation thereon of UV light, efforts have been made to prolong the time that the hydrogen peroxide remains in contact with the packaging material, in order to increase the killing effect of the sterilization process. United States patent No. 3,904,361 describes a process wherein, in order to prevent or at least minimize evaporation of a peroxide film on a packaging material web during its passage through a sterilization chamber, to prolong its contact with the web face and obtain the desired sterilization, the chamber is saturated with steam and hydrogen peroxide vapours obtained by spraying hydrogen peroxide through nozzles into the chamber. Although this technique prolonged contact of a very hot film of hydrogen peroxide with the packaging web surface to improve sterilization, it imposed severe restrictions on the rate at which packages could be manufactured with the packaging machine.

Another problem encountered with the known sterilization techniques employing hydrogen peroxide, regards ensuring uniformity of the degree of sterilization throughout the packaging material. In some web-fed packaging machines, hydrogen peroxide was mixed with water and delivered drop-wise into a heated container placed in the longitudinally sealed tube of packaging material. However, the vaporized hydrogen peroxide only started to have a sterilizing effect when the major part of the water in the droplets had evaporated. Therefore, the concentration of hydrogen peroxide actually acting on the packaging material varied considerably, at the same rate as delivery of drops of sterilizing agent. In order to overcome this problem US Patent No. 4,225,556 disclosed the use of a first treatment station with a container for hydrogen peroxide through which the packaging material was passed, and a second treatment station comprising a chamber with an inlet and an outlet for the packaging material web, and a nozzle for spraying hydrogen peroxide onto a heated surface. However, neither this arrangement, nor the above-described sterilization technique known from United States patent No. 3904361 are compatible with modern high-speed liquid food packaging machines due to the excessive times required to effect sterilization.

In order to overcome problems regarding uniformity of the sterilization of a packaging material web with hydrogen peroxide, the packaging material is passed through a bath containing hydrogen peroxide sterilizing solution, and in order to cope with ever-increasing production rates, the hydrogen peroxide baths have been increased in size to maintain contact time between the packaging material and the sterilizing solution. The intensified contact between the hydrogen peroxide solution and the packaging material is of course an advantage from the point of view of bacteriological killing. However, the intensified contact also increases the risk that the hydrogen peroxide solution will penetrate into and degrade the liquid-absorbent fibrous layer of the packaging material web through the cut edges of the web. The overdimensioning of the bath also results in a further increase in hydrostatic pressure which further increases the risk of liquid penetrating into the web in the deeper parts of the bath. Furthermore, since packaging material located in the

bath during machine stoppages is discarded, the deeper baths caused greater wastage of material upon each machine stop.

Sterilization with hydrogen peroxide and UV radiation:

5 United States patent No. 4,289,728 to Peel et al dealt with the synergy that exists between UV radiation below 325nm, and hydrogen peroxide at a concentration of at least 0.01% and no more than 10% by weight. By treating a microorganism at the surface of the packaging with an ultraviolet irradiated solution of hydrogen peroxide, the microorganism is rendered non-viable by the
10 synergism between the radiation and the hydrogen peroxide.

However, even with the use of powerful UV lamps, a long irradiation time was required for thorough sterilization, and this long irradiation time gave rise to damaging of the packaging material, lowering of its heat-seal strength and discoloration thereof. As a solution to this problem, United States patent No.
15 4,366,125 to Kodera et al disclosed a system including a first station for applying a thin film of hydrogen peroxide at low concentration at room temperature onto the outer surfaces of a packaging material. A second station, located downstream of the first station with respect to a travel direction defined by the material to be sterilized, was provided for irradiating the outer hydrogen
20 peroxide-coated surfaces with UV radiation. Finally, a third station was provided downstream of the second station for drying the material with aseptic hot air.

United States patent No. 5,114,670 to Duffey disclosed a sterilization chamber including inlet and outlet means for the material to be sterilized, means for introducing gaseous hydrogen peroxide into the sterilization chamber, and
25 means for simultaneously irradiating the material to be sterilized with UV energy.

WO 97/35768 discloses a method of sterilizing food packaging containers comprising a first step of depositing a hydrogen peroxide-containing solution having a concentration in a range of 0.05 – 0.20 wt.% into an interior of
30 a packaging container; a second step of irradiating the interior of the container with ultraviolet light after the hydrogen peroxide-containing solution is deposited in the interior of the container, and a third step of removing hydrogen peroxide from the interior of the container.

However, since the removing of hydrogen peroxide is performed after the irradiating with UV light, any microorganisms present on the packaging material are, at least to some extent, shielded by the layer of excess hydrogen peroxide covering the packaging material. Furthermore, the method is applied to
5 intermittently transferred and stopped packaging containers, and is not suitable for sterilizing a continuously moving web or sheet of packaging material.

Methods of sterilizing packaging material are also known from Patent Abstracts of Japan vol. 014, no. 135 (M-0949), 14 March 1990 & JP-A-02 004621, and also from Database WPI, section Ch. Week 8707, Derwent
10 Publications Ltd., London, GB; Class D22, AN 87-046573, XP002061293 & JP-A-62004038 (Dainippon Printing Co LTD.), 10 January 1987. In both of these methods, the step of irradiating with UV light is performed prior to removal of hydrogen peroxide from the surface of the packaging material. This has the disadvantage that any microorganisms present on the packaging material are to
15 some extent shielded from the UV radiation by the layer of excess hydrogen peroxide on the packaging material. Furthermore, these known methods are disclosed for sterilizing stationary packaging containers and are not suitable for sterilizing a continuously moving web or sheet of packaging material in a modern, high-speed machine for packaging liquid food products in packages
20 made from a continuous web of packaging material.

All of the above-mentioned sterilization techniques are susceptible to improvement relating to the killing effect achieved and the time necessary to guarantee elimination of all pathogenic microorganisms, on the packaging material, for compatibility with modern high-speed liquid food packaging
25 machines which can form, fill and seal 18000 or even more aseptic packages per hour, and wherein the packaging material moves at a speed of, or greater than 81.65 cm per second (48.990 meters per minute), such as the package forming, filling and sealing machine described in European patent application No. 97830312.1, filed on June 27, 1997, by the same applicant.

30 DISCLOSURE OF THE INVENTION.

There is a general need in the art to provide a method and apparatus for sterilizing packaging sheet material, which overcomes the problems encountered in the prior art sterilization methods and apparatuses.

A main object of the invention is to provide a method and apparatus for sterilizing packaging sheet material, which achieves improved killing rates with respect to the known sterilization techniques, thereby improving the quality of sealed packages manufactured with the packaging sheet material and hence
5 the product delivered to the consumer.

Another object of the invention is to provide a method and apparatus for sterilizing packaging sheet material which is fully compatible with modern high-speed package forming, filling and sealing machines.

A further object of the invention is to provide a method and apparatus for
10 sterilizing packaging sheet material employing hydrogen peroxide, which minimizes the time that the sheet material remains in contact with the hydrogen peroxide, thereby avoiding any soaking of the hydrogen peroxide into the cut edge of the packaging sheet material. This is a clear advantage, irrespective of the means which are used to apply hydrogen peroxide to the packaging
15 material, but it is particularly advantageous when a hydrogen peroxide bath is used. The invention allows to construct a bath of reduced depth, whereby no problems arise relating to hydrostatic pressures which would otherwise tend to promote soaking of the hydrogen peroxide into the cut edge of the packaging sheet material.

20 Yet another object of the invention is to provide a method and apparatus for sterilizing packaging sheet material employing hydrogen peroxide and UV radiation, wherein commercially available means for generating UV radiation can be used, at a power level which does not have any detrimental effects on the packaging material.

25 A further object of the invention is to provide a method and apparatus for sterilizing packaging sheet material employing hydrogen peroxide and UV radiation, which can be integrated with current package forming, filling and sealing machines.

30 With the above-mentioned objects in view, as well as other objects of the invention which will become apparent hereinafter, the invention provides a method of sterilizing a packaging sheet material, comprising the steps of;
-applying hydrogen peroxide to a packaging sheet material, and;

-irradiating the packaging sheet material with light including at least one UV wavelength between about 200nm and 320nm,

characterized in that it comprises the intermediate step of removing the hydrogen peroxide from the surface of the packaging sheet material while retaining a residual or trace quantity at any microorganisms (30a), after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby said residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

10 According to another aspect of the present invention, there is also provided an apparatus for sterilizing a packaging sheet material which comprises

- means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

15 - means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said means for applying hydrogen peroxide, with respect to said advancement direction, and;

- means for removing the hydrogen peroxide from surface of the packaging sheet material,

20 **characterized in that** said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and 25 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

BRIEF DESCRIPTION OF THE DRAWINGS.

Further features and advantages of the invention will become apparent from the following detailed description of the invention, and the accompanying drawing figures wherein:

Figure 1 is a schematic view of the apparatus according to the invention;

Figure 2 is an enlarged, schematic cross-sectional view of a portion of packaging sheet material irradiated with UV light according to the methods of the prior art, and;

Figure 3 is an enlarged, schematic cross-sectional view of a portion of packaging sheet material irradiated with UV light according to the method of the present invention.

BEST MODE FOR CARRYING OUT THE INVENTION.

With reference to drawing figure 1, the apparatus according to the invention is shown together with a packaging material sheet to be sterilized. Although, in the illustrated example, the packaging material is in the form of a web 1, it will be appreciated that the material may also be in the form of a cut blank. As shown in figure 3, the web 1 is a laminated multi-layer material of the type commonly used for aseptic packaging of pourable food products. The laminated structure has a fibre-based layer 20 made of a material such as paper, and heat-sealable layers 21, 22 made of plastics material such as polyethylene, provided on each side of the fibre-based layer 20. When the packaging material is to be used for the aseptic packaging of pourable products such as milk treated at ultra-high temperature (UHT milk), the face of the packaging material destined to be placed in contact with the food product is also provided with a layer of barrier material 23, such as e.g., aluminium, which is in turn covered with an additional heat-sealable layer 24 of plastics material such as polyethylene.

The web 1 is moved in an advancement direction, indicated by the arrow 2, and guided in its trajectory, by conventional means which do not form part of the present invention and thus are not illustrated. As clearly shown in figure 1, the apparatus according to the invention includes means for applying hydrogen peroxide to the surface of the packaging sheet material 1, moving in the advancement direction 2, which are preferably constituted by a bath 3 containing liquid hydrogen peroxide 4. The hydrogen peroxide 4 in the bath 3 may have a concentration of up to 50% by weight, and preferably has a concentration of from 10% by weight to 50% by weight, and most preferably has a concentration of from 20% by weight to 40% by weight. A conventional roller 5 is provided in the bath for guiding the packaging material 1. As also

schematically shown in figure 1, means 6 are also connected to the bath 3 for maintaining the hydrogen peroxide at a temperature preferably comprised between 15 degrees Centigrade and 80 degrees Centigrade, and may be constituted by a conventional thermostatically-controlled heating mechanism 6
5 as commonly used in the technical field of the invention, such as those present on the above cited TBA/19® and TBA/21® liquid food packaging machines, available from Tetra Brik Packaging Systems, Via Delfini 1, Modena, Italy.

In the apparatus according to the invention, the depth of the hydrogen peroxide bath can be reduced with respect to conventional baths, and the
10 hydrogen peroxide 4 in the bath 3 preferably defines a liquid column having a height less than 50cm. One skilled in the art will appreciate that other means for applying hydrogen peroxide liquid or vapour to the surface of the packaging material may also be used, such as spray means.

Means for irradiating the packaging sheet material with light, including at
15 least one UV wavelength between 200nm and 320nm, are located downstream of the bath 4, with respect to the advancement direction 2, and comprise a UV light source 7. According to a preferred embodiment of the invention, the UV light source 7 for irradiating the packaging sheet material may comprises a monochromatic source of UV light having a wavelength of 222nm. The
20 monochromatic source of UV light having a wavelength of 222nm preferably comprises at least one excimer lamp. Such lamps are available from Heraeus Noblelight, Kleinostheim, Germany. Alternatively, a source of polychromatic UV light may also be used. Such polychromatic lamps are manufactured, for instance, by Berson UV-techniek, De Huufkes 23, NL-5674Nuenen, The
25 Netherlands. Other lamps or lasers may also be used which emit light in the UV wavelengths.

As shown in figure 1, means 8 are also provided for removing hydrogen peroxide from the surface of the packaging sheet material 1, or, as will be explained hereinafter, reducing the hydrogen peroxide on the surface of the
30 packaging sheet material to a minimum. In the illustrated example, the means 8 for removing hydrogen peroxide from the packaging sheet material preferably comprise at least one air knife 9 for blowing air onto the packaging material sheet 1. Such air knives are known from United States patent No. 4603490 to

Hilmersson et al, and have a chamber 10 including an air inlet 11 and an air outlet 12 for a flow of air, a material inlet 13 and a material outlet 14 for a packaging material web 1, and a baffle plate 15 disposed over the air outlet 12 at an angle in relation to the path of travel of the web 1 through the chamber 10.

5 Air blown onto the web 1 at the air knife is preferably heated to a temperature of from 80 degrees Centigrade to 150 degrees Centigrade. It will be appreciated that other means for removing the hydrogen peroxide from the web 1 may also be used. For example, conventional squeegee rollers or pinch rollers, may also be used in addition to or instead of the air knife. Other means
10 may also be used for generating a flow of hot air suitable for evaporating the hydrogen peroxide. However, means for blowing hot air onto the packaging material web is preferred, especially where the web has any parts, such as package opening devices injection-molded directly onto the web, which could affect the efficiency of the squeegee rollers.

15 An important feature of the present invention resides in the specific location of the means for removing excess hydrogen peroxide from the surface of the packaging material web 1. As clearly shown in figure 1, the means for removing excess hydrogen peroxide 8 are interposed between the means for applying hydrogen peroxide, constituted in the illustrated example by the bath 3,
20 and the means 7 for irradiating the packaging material with light including at least one UV wavelength between 200nm and 320nm. The reason for this location of the means for removing hydrogen peroxide is the following:

 With reference first of all to drawing figure 2, there is illustrated an enlarged sectional view of a portion of a multi-layer laminated packaging sheet
25 material like the above-described web 1, wherein identical layers of the laminated structure are identified by the same reference numerals. Additionally, the reference numeral 30 schematically indicates microorganisms present on the surface of the packaging material, and the reference numeral 31 indicates the layer of hydrogen peroxide applied to the surface of the packaging material.
30 Figure 2 illustrates the treatment of a packaging sheet material according to the prior art methods, wherein hydrogen peroxide at a concentration no greater than 10% by weight is first applied to the packaging sheet material. Thereafter the hydrogen peroxide is irradiated with UV light, and the synergy between the

hydrogen peroxide and the UV light has the known killing effect on the microorganisms 30.

Figure 3 illustrates the treatment of a packaging sheet material according to the invention, wherein hydrogen peroxide, preferably at a concentration of up to 50% by weight, and preferably of from 10% to 50% by weight, is first applied to the packaging sheet material. Thereafter the hydrogen peroxide is removed from the surface of the packaging material. It would thus appear that if one irradiated the packaging material with UV light at this point, i.e., in the UV irradiation zone indicated by the reference numeral 19 in figure 1, there could be no interaction between the UV light and hydrogen peroxide, because the latter has been removed. However, the applicant has discovered that on the contrary, the killing effect achieved is significantly improved with respect to known techniques. This is due to the fact that although excess hydrogen peroxide is removed from the hydrophobic packaging sheet material, a residual or trace quantity is retained at any microorganisms 30a, which are believed to hydrophilically absorb the hydrogen peroxide 31a or otherwise retain the residual hydrogen peroxide. Therefore, when the packaging sheet material is successively irradiated with UV light, the interaction occurring between the hydrogen peroxide and the UV light, that has the known killing effect on microorganisms, is targeted specifically to the microorganisms themselves, which have hydrophilically absorbed or otherwise retained the hydrogen peroxide. Furthermore, the removal of the shielding layer of excess hydrogen peroxide allows advantage to be taken of the possibility of using higher concentrations of hydrogen peroxide than those which were considered to be the maximum utilizable by the prior art.

In other words, instead of irradiating the entire layer of hydrogen peroxide with the aim of killing any microorganisms contained therein, as taught by the prior art, in accordance with the invention, the layer of excess hydrogen peroxide 31 is removed and only the residual hydrogen peroxide located in, on or adjacent to any microorganisms any microorganisms 30a present on the surface of the packaging material is directly targeted with UV radiation. It will be understood that the positional relationship between the residual hydrogen

peroxide and the and the microorganisms shown in figure 3 is purely schematic and representative of what is believed to be occurring.

Therefore, when irradiating the packaging material with UV light, instead of having a layer of excess hydrogen peroxide shielding the microorganisms, the irradiation of UV light is targeted to the residual hydrogen peroxide absorbed by or located adjacent to the microorganisms. The removal of the layer of excess hydrogen peroxide in practice has the surprising effect of significantly increasing the efficiency of the sterilization process.

The method according to the invention, carried out with the above-described apparatus, will now be described:

First of all, hydrogen peroxide, preferably liquid hydrogen peroxide at a concentration of up to 50% by weight, preferably of from 10% by weight to 50% by weight, and most preferably at a concentration of from 20% by weight to 40% by weight, is applied to a packaging sheet material. In accordance with a preferred embodiment of the invention, this is achieved by immersing the packaging sheet material in a hydrogen peroxide bath at a temperature comprised between 15 degrees Centigrade and 80 degrees Centigrade, for a time interval of from 0.5 seconds to 2 seconds. During this time, the hydrogen peroxide is believed to be hydrophilically absorbed in, or become somehow entrapped adjacent to or on any microorganisms present on the packaging material. Preferably, the height of the liquid column of hydrogen peroxide in the bath does not exceed 50cm above the packaging sheet material. Although deeper hydrogen peroxide baths may be used, this relatively short residence time and shallow depth obviate problems relating to edge-soaking or wicking of the hydrogen peroxide into the fibrous layer of the laminated packaging material.

Thereafter, the excess hydrogen peroxide is removed from the surface of the packaging sheet material, preferably by blowing thereon a stream of air heated to a temperature of from 80 degrees Centigrade to 150 degrees Centigrade, to reduce the hydrogen peroxide on the surface of the packaging material to a minimum. This removes the excess hydrogen peroxide from the surface of the packaging material, but a trace quantity of residual hydrogen peroxide is retained at any microorganisms present on the surface of the packaging

material. Once the excess hydrogen peroxide has been removed from the surface of the packaging material, the material is irradiated with light including at least one UV wavelength between about 200nm and 320nm. According to a preferred embodiment of the invention, the packaging sheet material is
5 irradiated with UV light at a wavelength of 222nm, and most preferably, the UV light source is an excimer lamp. In this manner, it has been found that it is possible to directly target residual hydrogen peroxide entrapped at any microorganisms present on the packaging sheet material with UV radiation. The use of an excimer lamp has the additional advantages of instantaneous
10 activation and deactivation and no significant heat emission. From experimental tests, it has been observed that when the hydrogen peroxide is not reduced to a minimum (i.e., to a residual or trace quantity at any microorganisms on the packaging material to be sterilized) before irradiating with UV light, a far less log reduction results than that which has been achieved in comparative
15 experiments which have been carried out in accordance with the invention.

The sterilization system according to the invention is fully compatible with modern high-speed aseptic liquid-food packaging machines, producing up to 18000 or more packages per hour, wherein the packaging material moves at a speed of, or greater than 81.65 cm per second (48.990 meters per minute).

20 The present invention may be further modified, without thereby departing from the purview of the appended claims.

CLAIMS.

What is claimed is:

1. Method of sterilizing a packaging sheet material, comprising the steps of;
5 -applying hydrogen peroxide to a packaging sheet material, and;
-irradiating the packaging sheet material with light including at least one UV wavelength between about 200nm and 320nm,
characterized in that it comprises the intermediate step of removing the hydrogen peroxide from the surface of the packaging sheet material, while
10 retaining a residual or trace quantity at any microorganisms (30a), after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby said residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.
- 15 2. Method according to claim 1, **characterized in that** said step of applying hydrogen peroxide to said packaging sheet material comprises applying liquid hydrogen peroxide thereto at a concentration of up to 50% by weight.
3. Method according to claim 1, **characterized in that** said step of applying hydrogen peroxide to said packaging sheet material, comprises applying liquid
20 hydrogen peroxide at a concentration of from 20% by weight to 40% by weight.
4. Method according to claim 1, 2 or 3, **characterized in that** said step of applying hydrogen peroxide to said packaging sheet material comprises the step of immersing said packaging sheet material in a hydrogen peroxide bath at a temperature comprised between 15 degrees Centigrade and 80 degrees
25 Centigrade, for a time interval of from 0.5 seconds to 2 seconds.
5. Method according to claim 1, **characterized in that** said intermediate step of removing excess hydrogen peroxide from said packaging sheet material comprises blowing a stream of heated air, heated to a temperature of from 80 degrees Centigrade to 150 degrees Centigrade onto said packaging
30 sheet material.
6. Method according to claim 1, **characterized in that** said step of irradiating the packaging sheet material with light including at least one UV

wavelength, consists of irradiating said packaging sheet material with polychromatic UV light.

7. Method according to claim 1, **characterized in that** said step of irradiating the packaging sheet material with light including at least one UV wavelength, consists of irradiating said packaging sheet material with UV light
5 at a wavelength of 222nm.

8. Method according to claim 7, **characterized in that** said step of irradiating the packaging sheet material with UV light at a wavelength of 222nm comprises irradiating said packaging sheet material with an excimer lamp.

10 9. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a web unwound from a roll.

10. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a blank.

11. Apparatus for sterilizing a packaging sheet material according to the
15 method defined in claims 1-10, comprising;

-means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

-means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said

20 means for applying hydrogen peroxide, with respect to said advancement direction, and;

-means for removing the hydrogen peroxide from the surface of the packaging sheet material,

characterized in that said means for removing the hydrogen peroxide from the
25 surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet
30 material is directly targeted with UV radiation.

12. Apparatus according to claim 11, **characteriz d in that** said means for applying hydrogen peroxide to said packaging sheet material comprise a bath containing liquid hydrogen peroxide at a concentration of up to 50% by weight.

13. Apparatus according to claim 11, **characterized in that** said means for applying hydrogen peroxide to said packaging sheet material comprise a bath containing liquid hydrogen peroxide at a concentration of from 20% by weight to 40% by weight.

5 14. Apparatus according to claim 12 or 13, **characterized in that** it comprises means for maintaining said hydrogen peroxide bath at a temperature comprised between 15 degrees Centigrade and 80 degrees Centigrade.

15. Apparatus according to claim 12, 13 or 14, **characterized in that** said hydrogen peroxide in said bath defines a liquid column having a height less
10 than 50cm in said bath.

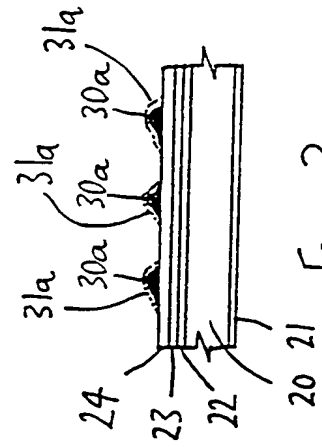
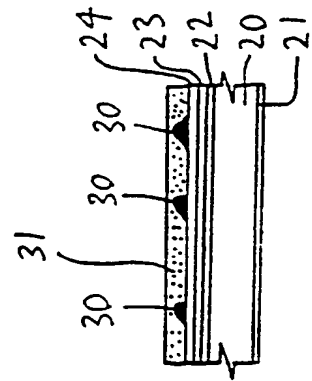
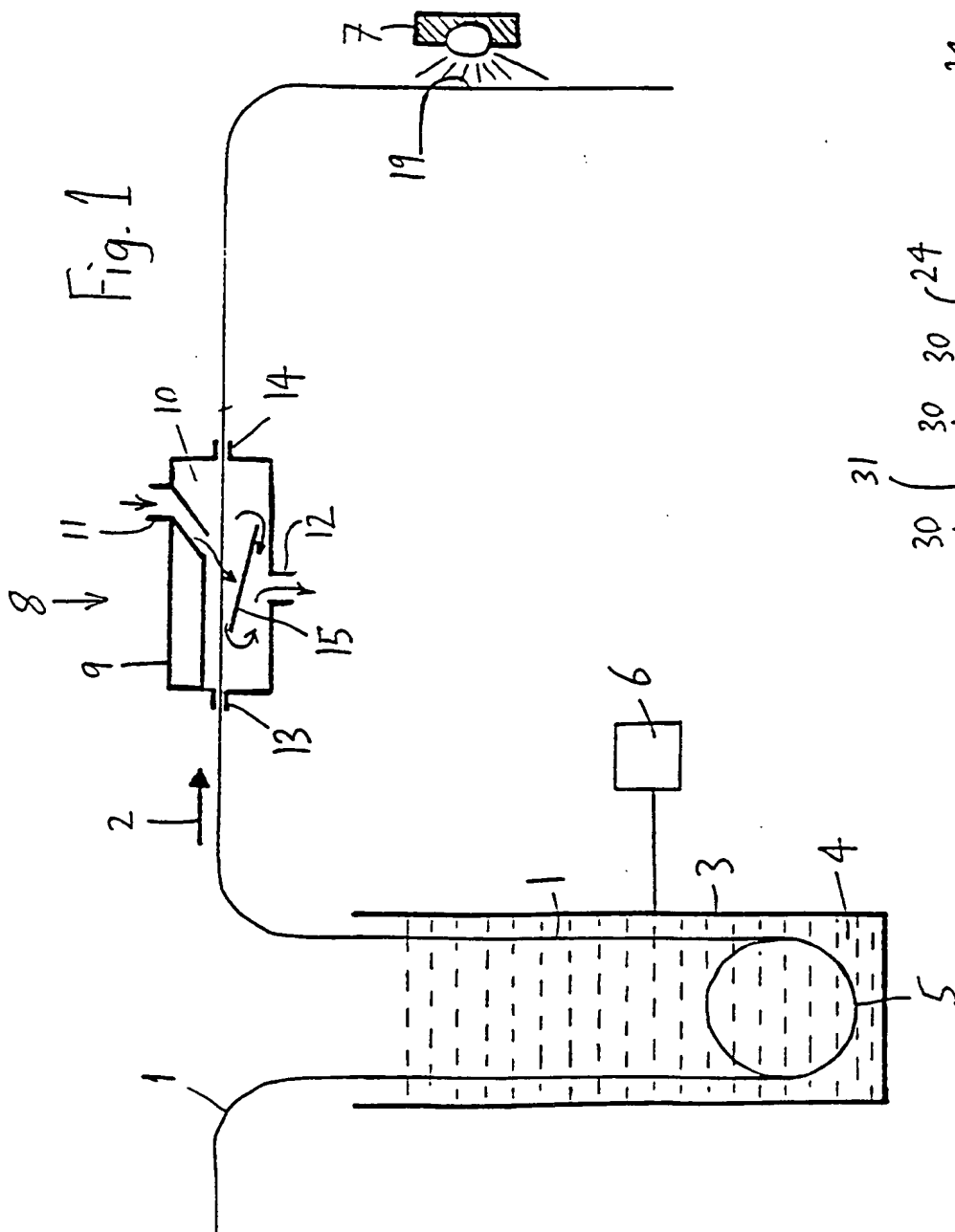
16. Apparatus according to claim 11, **characterized in that** said means for removing hydrogen peroxide from said packaging sheet material comprise at least one air knife for blowing air onto said packaging material sheet at a temperature of from 80 degrees Centigrade to 150 degrees Centigrade.

15 17. Apparatus according to claim 11, **characterized in that** said means for irradiating the packaging sheet material with light include at least one UV wavelength between about 200nm and 320nm comprise a monochromatic source of UV light having a wavelength of 222nm.

18. Apparatus according to claim 17, **characterized in that** said
20 monochromatic source of UV light comprises at least one excimer lamp.

19. Apparatus according to claim 11, **characterized in that** said means for irradiating the packaging sheet material with light including at least one UV wavelength, comprise a polychromatic UV lamp.

1 / 1



THIS PAGE BLANK (USPTO)

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 98/06846

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61L2/10 A61L2/20 A61L2/18 B65B55/04

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61L B65B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 35768 A (TETRA LAVAL HOLDINGS & FINANCE ;PALM MAGNUS (JP); GOTO MICHIO (JP)) 2 October 1997 cited in the application see the whole document	1-11
X	PATENT ABSTRACTS OF JAPAN vol. 014, no. 135 (M-0949), 14 March 1990 & JP 02 004621 A (SNOW BRAND MILK PROD CO LTD;OTHERS: 01), 9 January 1990 cited in the application see abstract	1-11
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

25 February 1999

Date of mailing of the international search report

08/03/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

ESPINOSA, M

THIS PAGE BLANK (USPT

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 98/06846

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DATABASE WPI Section Ch, Week 8707 Derwent Publications Ltd., London, GB; Class D22, AN 87-046573 XP002061293 & JP 62 004038 A (DAINIPPON PRINTING CO LTD), 10 January 1987 cited in the application see abstract</p>	1
A	<p>EP 0 361 858 A (ELOPAK SYSTEMS) 4 April 1990 see claims; figures</p>	1
A	<p>US 4 375 145 A (MOSSE RICHARD W E ET AL) 1 March 1983 see claims; figures</p>	1
A	<p>US 4 366 125 A (KODERA TOKIO ET AL) 28 December 1982 cited in the application see claims; figures</p>	1
A	<p>US 4 289 728 A (PEEL JOHN L ET AL) 15 September 1981 cited in the application see claims; examples</p>	1
A	<p>US 4 225 556 A (LOETHMAN STIG A ET AL) 30 September 1980 cited in the application</p>	1

THIS PAGE BLANK (USPTO)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 98/06846

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9735768 A	02-10-1997	JP 9254936 A AU 2548797 A	30-09-1997 17-10-1997
EP 0361858 A	04-04-1990	NONE	
US 4375145 A	01-03-1983	NONE	
US 4366125 A	28-12-1982	JP 56075158 A CA 1147526 A	22-06-1981 07-06-1983
US 4289728 A	15-09-1981	AT 4087 T AU 524782 B AU 5452480 A BE 881097 A CA 1130977 A EP 0022801 A WO 8001457 A GB 2063070 A IE 49042 B IN 153503 A JP 60172666 A JP 56500058 T JP 60034395 B	15-07-1983 30-09-1982 17-07-1980 02-05-1980 07-09-1982 28-01-1981 24-07-1980 03-06-1981 10-07-1985 21-07-1984 06-09-1985 22-01-1981 08-08-1985
US 4225556 A	30-09-1980	SE 401913 B AU 515476 B AU 2943477 A CA 1060627 A CH 621741 A DE 2744638 A FR 2366997 A GB 1574488 A JP 1137675 C JP 53046197 A JP 57029336 B NL 7710884 A,B, SE 7611124 A SU 1258312 A	05-06-1978 09-04-1981 12-04-1979 21-08-1979 27-02-1981 13-04-1978 05-05-1978 10-09-1980 28-02-1983 25-04-1978 22-06-1982 11-04-1978 08-04-1978 15-09-1986

THIS PAGE BLANK (USPTO)

wavelength, consists of irradiating said packaging sheet material with polychromatic UV light.

7. Method according to claim 1, **characterized in that** said step of irradiating the packaging sheet material with light including at least one UV wavelength, consists of irradiating said packaging sheet material with UV light at a wavelength of 222nm.

8. Method according to claim 7, **characterized in that** said step of irradiating the packaging sheet material with UV light at a wavelength of 222nm comprises irradiating said packaging sheet material with an excimer lamp.

9. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a web unwound from a roll.

10. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a blank.

11. Apparatus for sterilizing a packaging sheet material according to the method defined in claims 1-10, comprising;

-means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

-means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said

means for applying hydrogen peroxide, with respect to said advancement direction, and;

-means for removing the hydrogen peroxide from the surface of the packaging sheet material,

characterized in that said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

12. Apparatus according to claim 11, **characterized in that** said means for applying hydrogen peroxide to said packaging sheet material comprise a bath containing liquid hydrogen peroxide at a concentration of up to 50% by weight.

YU 03041417
TOMA 66 1776

THIS PAGE BLANK (USPTO)

-irradiating the packaging sheet material with light including at least one UV wavelength between about 200nm and 320nm,

characterized in that it comprises the intermediate step of removing the hydrogen peroxide from the surface of the packaging sheet material while retaining a residual or trace quantity of any microorganisms (30a), after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby said residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

10 According to another aspect of the present invention, there is also provided an apparatus for sterilizing a packaging sheet material which comprises

- means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

15 - means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said means for applying hydrogen peroxide, with respect to said advancement direction, and;

- means for removing the hydrogen peroxide from surface of the packaging sheet material,

characterized in that said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and 25 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

BRIEF DESCRIPTION OF THE DRAWINGS.

Further features and advantages of the invention will become apparent from the following detailed description of the invention, and the accompanying drawing figures wherein:

Figure 1 is a schematic view of the apparatus according to the invention;

THIS PAGE BLANK (USPTO)

IPEA/ EP

CHAPTER II

under Article 31 of the Patent Cooperation Treaty:
The undersigned requests that the international application specified below be the subject of
international preliminary examination according to the Patent Cooperation Treaty.

For International Preliminary Examining Authority use only	
Identification of IPEA	Date of receipt of DEMAND
Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
Applicant's or agent's file reference E-5291/97 BIS	
International application No. PCT/EP98/06846	International filing date (<i>day/month/year</i>) (28 October 1998) 28.10.98
(Earliest) Priority date (<i>day/month/year</i>) (29 October 1997) 29.10.97	
Title of invention "METHOD AND APPARATUS FOR STERILIZING A PACKAGING SHEET MATERIAL"	
Box No. II APPLICANT(S)	
Name and address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>) TETRA LAVAL HOLDINGS & FINANCE SA Avenue Général-Guisan 70 CH-1009 PULLY, Switzerland	Telephone No.: === Facsimile No.: === Teleprinter No.: ===
State (<i>i.e. country</i>) of nationality: CH	State (<i>i.e. country</i>) of residence: CH
Name and address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>) MORUZZI Guido Via Venezia, 10 40068 S. LAZZARO DI SAVENA, Italy	
State (<i>i.e. country</i>) of nationality: IT	State (<i>i.e. country</i>) of residence: IT
Name and address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>)	
State (<i>i.e. country</i>) of nationality:	State (<i>i.e. country</i>) of residence:
Further applicants are indicated on a continuation sheet.	

THIS PAGE BLANK (USPTO)

Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The following person is ☒ agent ☐ common representativeand ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.Name and address: *(Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)*JORIO Paolo - PRATO Roberto - BOGGIO Luigi -
PLEBANI Rinaldo - CERBARO Elena - FRANZOLIN Luigi -
LO CIGNO Giovannic/o STUDIO TORTA S.r.l.
Via Viotti, 9
10121 TORINO, Italy

Telephone No.:

+39.011.5611320

Facsimile No.:

+39.011.5622102

Teleprinter No.:

221612

☐ Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No. IV STATEMENT CONCERNING AMENDMENTS

The applicant wishes the International Preliminary Examining Authority*

(i) ☒ to start the international preliminary examination on the basis of the international application as originally filed.(ii) ☐ to take into account the amendments under Article 34 of☐ the description (amendments attached).☐ the claims (amendments attached).☐ the drawings (amendments attached).(iii) ☐ to take into account any amendments of the claims under Article 19 filed with the International Bureau (a copy is attached).(iv) ☐ to disregard any amendments of the claims made under Article 19 and to consider them as reversed.(v) ☐ to postpone the start of the international preliminary examination until the expiration of 20 months from the priority date unless that Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Box No. V ELECTION OF STATES

☒ The applicant hereby elects all eligible States *(that is, all States which have been designated and which are bound by Chapter II of the PCT)* except*(If the applicant does not wish to elect certain eligible States, the name(s) or country code(s) of those States must be indicated above.)*

THIS PAGE BLANK (USPTO)

Box No. VI CHECK LIST

The demand is accompanied by the following documents for the purposes of international preliminary examination:

- | | | |
|--|---|--------|
| 1. amendments under Article 34 | | |
| description | : | sheets |
| claims | : | sheets |
| drawings | : | sheets |
| 2. letter accompanying amendments under Article 34 | : | sheets |
| 3. copy of amendments under Article 19 | : | sheets |
| 4. copy of statement under Article 19 | : | sheets |
| 5. other (<i>specify</i>): | : | sheets |

For International Preliminary
Examining Authority use only

received not received

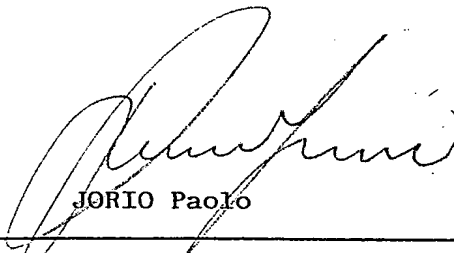
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

The demand is also accompanied by the item(s) marked below:

- | | |
|--|--|
| 1. <input type="checkbox"/> separate signed power of attorney | 4. <input checked="" type="checkbox"/> fee calculation sheet |
| 2. <input type="checkbox"/> copy of general power of attorney | 5. <input type="checkbox"/> other (<i>specify</i>): |
| 3. <input type="checkbox"/> statement explaining lack of signature | |

Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).


JORIO Paolo

For International Preliminary Examining Authority use only

- | | |
|--|---|
| 1. Date of actual receipt of DEMAND: | |
| 2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b): | |
| 3. <input type="checkbox"/> The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply. | <input type="checkbox"/> The applicant has been informed accordingly. |
| 4. <input type="checkbox"/> The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5. | |
| 5. <input type="checkbox"/> Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82. | |

For International Bureau use only

Demand received from IPEA on:

THIS PAGE BLANK (USPTO)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference E-5291/97 Bis	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP98/06846	International filing date (day/month/year) 28/10/1998	Priority date (day/month/year) 29/10/1997
International Patent Classification (IPC) or national classification and IPC A61L2/10		
Applicant TETRA LAVAL HOLDINGS & FINANCE S.A. et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.


2. This REPORT consists of a total of 4 sheets, including this cover sheet.

- ☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 2 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 25/05/1999	Date of completion of this report 04.02.2000
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Tiercet, M Telephone No. +49 89 2399 8977



THIS PAGE BLANK (USPTO)

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP98/06846

I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

Description, pages:

1-6,8-13	as originally filed		
7	as received on	13/01/2000 with letter of	07/01/2000

Claims, No.:

1-5,6(page 14), 13-19	as originally filed		
6(page 15),7-12	as received on	13/01/2000 with letter of	07/01/2000

Drawings, sheets:

1/1	as originally filed
-----	---------------------

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
☐ the claims, Nos.:
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

THIS PAGE BLANK (USPTO)

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP98/06846

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-19
	No:	Claims	
Inventive step (IS)	Yes:	Claims	1-19
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	1-19
	No:	Claims	

2. Citations and explanations

see separate sheet

THIS PAGE BLANK (USPTO)

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP98/06846

Ad V:

Compared to the document US-A-4366125, representing the nearest prior art and describing a process according to claim 1, comprising the application of hydrogen peroxide to a packaging sheet material, irradiation with UV light and drying, claim 1 prescribes as novel feature an intermediate step of removing hydrogen peroxide from the surface of the material between hydrogen peroxide application and UV-irradiation. The novel feature provides the advantage, that due to the removal of a shielding layer of hydrogen peroxide, the efficiency of the process is improved. None of the documents cited in the search report discloses this specific feature or is concerned with the problem of the shielding layer of hydrogen peroxide, so that claim 1 is regarded as non-obvious alternative meeting the requirements of Article 33(2) and 33(3) PCT. The same is valid for independant claim 11, defining an apparatus particularly adapted for carrying out the process of claim 1. The industrial applicability is evident.

THIS PAGE BLANK (USPTO)

-irradiating the packaging sheet material with light including at least one UV wavelength between about 200nm and 320nm,

characterized in that it comprises the intermediate step of removing the hydrogen peroxide from the surface of the packaging sheet material while
 5 retaining a residual or trace quantity at any microorganisms (30a), after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby said residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

10 According to another aspect of the present invention, there is also provided an apparatus for sterilizing a packaging sheet material which comprises

- means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

15 - means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said means for applying hydrogen peroxide, with respect to said advancement direction, and;

20 - means for removing the hydrogen peroxide from surface of the packaging sheet material,

characterized in that said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and
 25 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

BRIEF DESCRIPTION OF THE DRAWINGS.

Further features and advantages of the invention will become apparent
 30 from the following detailed description of the invention, and the accompanying drawing figures wherein:

Figure 1 is a schematic view of the apparatus according to the invention;

< and in that said means for irradiating are arranged only downstream of the means for removing >

THIS PAGE BLANK (USPTO)

wavelength, consists of irradiating said packaging sheet material with polychromatic UV light.

7. Method according to claim 1, **characterized in that** said step of irradiating the packaging sheet material with light including at least one UV wavelength, consists of irradiating said packaging sheet material with UV light at a wavelength of 222nm.

8. Method according to claim 7, **characterized in that** said step of irradiating the packaging sheet material with UV light at a wavelength of 222nm comprises irradiating said packaging sheet material with an excimer lamp.

9. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a web unwound from a roll.

10. Method according to one or more of claims 1-8, **characterized in that** said packaging sheet material is a blank.

11. Apparatus for sterilizing a packaging sheet material according to the method defined in claims 1-10, comprising;

-means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

-means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said

means for applying hydrogen peroxide, with respect to said advancement direction, and;

-means for removing the hydrogen peroxide from the surface of the packaging sheet material,

characterized in that said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

12. Apparatus according to claim 11, **characterized in that** said means for applying hydrogen peroxide to said packaging sheet material comprise a bath containing liquid hydrogen peroxide at a concentration of up to 50% by weight.

< and in that said means for irradiating are arranged only downstream of the means for removing >

ENCLOSED SHEET

THIS PAGE BLANK (USP)

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference E-5291/97 Bis	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/EP 98/ 06846	International filing date (day/month/year) 28/10/1998	(Earliest) Priority Date (day/month/year) 29/10/1997
Applicant TETRA LAVAL HOLDINGS & FINANCE S.A. et al.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.
☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (see Box II).

4. With regard to the title,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No. 1

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

THIS PAGE BLANK (USPTO)

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 98/06846

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61L2/10 A61L2/20 A61L2/18 B65B55/04

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61L B65B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 35768 A (TETRA LAVAL HOLDINGS & FINANCE ; PALM MAGNUS (JP); GOTO MICHIO (JP)) 2 October 1997 cited in the application see the whole document	1-11
X	PATENT ABSTRACTS OF JAPAN vol. 014, no. 135 (M-0949), 14 March 1990 & JP 02 004621 A (SNOW BRAND MILK PROD CO LTD; OTHERS: 01), 9 January 1990 cited in the application see abstract --- -/--	1-11

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

25 February 1999

Date of mailing of the international search report

08/03/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

ESPINOSA, M

THIS PAGE BLANK (USPTO)

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DATABASE WPI Section Ch, Week 8707 Derwent Publications Ltd., London, GB; Class D22, AN 87-046573 XP002061293 & JP 62 004038 A (DAINIPPON PRINTING CO LTD), 10 January 1987 cited in the application see abstract</p>	1
A	<p>EP 0 361 858 A (ELOPAK SYSTEMS) 4 April 1990 see claims; figures</p>	1
A	<p>US 4 375 145 A (MOSSE RICHARD W E ET AL) 1 March 1983 see claims; figures</p>	1
A	<p>US 4 366 125 A (KODERA TOKIO ET AL) 28 December 1982 cited in the application see claims; figures</p>	1
A	<p>US 4 289 728 A (PEEL JOHN L ET AL) 15 September 1981 cited in the application see claims; examples</p>	1
A	<p>US 4 225 556 A (LOETHMAN STIG A ET AL) 30 September 1980 cited in the application</p>	1

THIS PAGE BLANK (USPTO)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 98/06846

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9735768 A	02-10-1997	JP 9254936 A AU 2548797 A	30-09-1997 17-10-1997
EP 0361858 A	04-04-1990	NONE	
US 4375145 A	01-03-1983	NONE	
US 4366125 A	28-12-1982	JP 56075158 A CA 1147526 A	22-06-1981 07-06-1983
US 4289728 A	15-09-1981	AT 4087 T AU 524782 B AU 5452480 A BE 881097 A CA 1130977 A EP 0022801 A WO 8001457 A GB 2063070 A IE 49042 B IN 153503 A JP 60172666 A JP 56500058 T JP 60034395 B	15-07-1983 30-09-1982 17-07-1980 02-05-1980 07-09-1982 28-01-1981 24-07-1980 03-06-1981 10-07-1985 21-07-1984 06-09-1985 22-01-1981 08-08-1985
US 4225556 A	30-09-1980	SE 401913 B AU 515476 B AU 2943477 A CA 1060627 A CH 621741 A DE 2744638 A FR 2366997 A GB 1574488 A JP 1137675 C JP 53046197 A JP 57029336 B NL 7710884 A,B, SE 7611124 A SU 1258312 A	05-06-1978 09-04-1981 12-04-1979 21-08-1979 27-02-1981 13-04-1978 05-05-1978 10-09-1980 28-02-1983 25-04-1978 22-06-1982 11-04-1978 08-04-1978 15-09-1986

THIS PAGE BLANK (USPTO)